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FORM PTO-1390 (REV 12-29-99)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 09100.017	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>09/601652</b>	
INTERNATIONAL APPLICATION NO. PCT/NO99/00043		INTERNATIONAL FILING DATE 9 February 1999		PRIORITY DATE CLAIMED 9 February 1998	
TITLE OF INVENTION A CATALYST FOR THE (CO) POLYMERISATION OF ETHYLENE AND A METHOD FOR THE PREPARATION THEREOF					
APPLICANT(S) FOR DO/EO/US Arild FOLLESTAD, et. al.				<b>533 Rec'd PCT/PTO 04 AUG 2000</b>	

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - ☒ has been transmitted by the International Bureau.
  - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - ☒ have been transmitted by the International Bureau.
  - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - ☐ have not been made and will not be made.
- ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern document(s) or information included:**

- ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
- ☐ A substitute specification.
- ☐ A change of power of attorney and/or address letter.
- ☐ Other items or information:

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>09/601652</b>		INTERNATIONAL APPLICATION NO. PCT/NO99/00043		ATTORNEY'S DOCKET NUMBER 09100.017	
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<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p><b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1) - (5)) :</b></p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$970.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO. .... \$840.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$690.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00</p> <p style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></p> <p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">CLAIMS</th> <th style="width: 15%;">NUMBER FILED</th> <th style="width: 15%;">NUMBER EXTRA</th> <th style="width: 15%;">RATE</th> <th style="width: 15%;"></th> <th style="width: 15%;"></th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>20 - 20 =</td> <td>0</td> <td>X \$18.00</td> <td>\$ 0</td> <td></td> </tr> <tr> <td>Independent claims</td> <td>2 - 3 =</td> <td>0</td> <td>X \$78.00</td> <td>\$ 0</td> <td></td> </tr> <tr> <td colspan="4">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$260.00</td> <td>\$ 0</td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td><b>\$ 840.00</b></td> <td></td> </tr> <tr> <td colspan="4">Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).</td> <td>\$</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>SUBTOTAL =</b></td> <td><b>\$ 840.00</b></td> <td></td> </tr> <tr> <td colspan="4">Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td>\$</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL NATIONAL FEE =</b></td> <td><b>\$ 840.00</b></td> <td></td> </tr> <tr> <td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</td> <td>+</td> <td>\$</td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL FEES ENCLOSED =</b></td> <td><b>\$ 840.00</b></td> <td></td> </tr> <tr> <td colspan="4"></td> <td style="text-align: right;">Amount to be refunded:</td> <td>\$</td> </tr> <tr> <td colspan="4"></td> <td style="text-align: right;">charged:</td> <td>\$</td> </tr> </tbody></table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			Total claims	20 - 20 =	0	X \$18.00	\$ 0		Independent claims	2 - 3 =	0	X \$78.00	\$ 0		MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$260.00	\$ 0	<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 840.00</b>		Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$		<b>SUBTOTAL =</b>				<b>\$ 840.00</b>		Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$		<b>TOTAL NATIONAL FEE =</b>				<b>\$ 840.00</b>		Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$	<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 840.00</b>						Amount to be refunded:	\$					charged:	\$	<p><b>CALCULATIONS</b> PTO USE ONLY</p>
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a. ☒ A check in the amount of \$ 840.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0548. A duplicate copy of this sheet is enclosed.

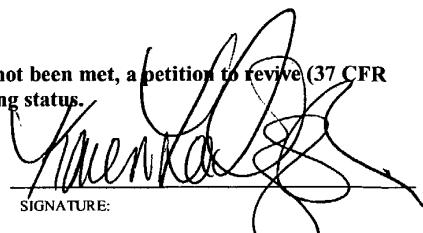
**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

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31,621  
 REGISTRATION NUMBER

09601652 09/601652

526 Rec'd PCT/PTO 04 AUG 2000

Attorney's Docket No. 09100.017

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Arild FOLLESTAD et al.  
Appl. No.: [Nat'l phase of PCT/NO99/00043] Group Art Unit: [Not Assigned]  
Filed: August 4, 2000 Examiner: [Not Assigned]  
For: A CATALYST FOR THE (CO)POLYMERISATION OF ETHYLENE AND A  
METHOD FOR THE PREPARATION THEREOF

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Prior to calculating the filing fee for the above-identified patent application, please  
amend the application as follows:

In the Claims:

Please amend claims 3, 4, 6, and 8 as follows:

3. (Amended) A catalyst according to claim 1 [or 2], characterised in that the  
chromium is mainly in a bivalent oxidation state.

4. (Amended) A catalyst according to claim 1, [2 or 3,] characterised in that the  
transition metal is selected from the group comprising titanium, zirconium [or] and hafnium.

6. (Amended) A catalyst according to claim 1 [any of claims 1 to 5],  
characterised in that the catalyst contains 0.4% to 10% by weight of Cr; 0.1% to 0.6% by weight  
of Zr or Hf; and 5% to 20% by weight of Al; calculated as metals based on the total weight of the  
catalyst.

8. (Amended) A catalyst according to claim 1 [of any of the preceding claims],  
characterised in that said catalyst has the shape of spherical or spheroidal particles.

Preliminary Amendment  
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Please add the following new claims 12- 20:

12. (New) A catalyst according to claim 2, characterised in that the chromium is mainly in a bivalent oxidation state

13. (New) A catalyst according to claim 2, characterised in that the transition metal is selected from the group comprising titanium, zirconium and hafnium.

14. (New) A catalyst according to claim 3, characterised in that the transition metal is selected from the group comprising titanium, zirconium and hafnium.

15. (New) A catalyst according to claim 12, characterised in that the transition metal is selected from the group comprising titanium, zirconium and hafnium.

16. (New) A catalyst according to claim 2, characterised in that the catalyst contains 0.4% to 10% by weight of Cr; 0.1% to 0.6% by weight of Zr or Hf; and 5% to 20% by weight of Al; calculated as metals based on the total weight of the catalyst.

17. (New) A catalyst according to claim 3, characterised in that the catalyst contains 0.4% to 10% by weight of Cr; 0.1% to 0.6% by weight of Zr or Hf; and 5% to 20% by weight of Al; calculated as metals based on the total weight of the catalyst.

18. (New) A catalyst according to claim 3, characterised in that the catalyst contains 0.4% to 10% by weight of Cr; 0.1% to 0.6% by weight of Zr or Hf; and 5% to 20% by weight of Al; calculated as metals based on the total weight of the catalyst.

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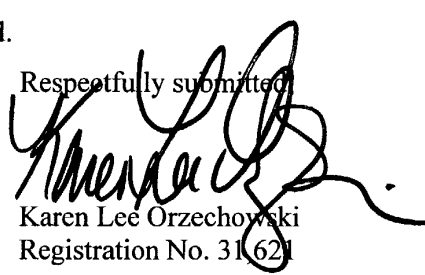
19. (New) A catalyst according to claim 4, characterised in that the catalyst contains 0.4% to 10% by weight of Cr; 0.1% to 0.6% by weight of Zr or Hf; and 5% to 20% by weight of Al; calculated as metals based on the total weight of the catalyst.

20. (New) A catalyst according to claim 5, characterised in that the catalyst contains 0.4% to 10% by weight of Cr; 0.1% to 0.6% by weight of Zr or Hf; and 5% to 20% by weight of Al; calculated as metals based on the total weight of the catalyst.

#### REMARKS

These amendments are made solely for the purposes of eliminating multiple dependencies and for placing the claims in U.S. style claiming format rather than in the European style. No new matter has been added.

Respectfully submitted

  
Karen Lee Orzechowski  
Registration No. 31621

Date: August 4, 2000

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#### **CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office at Fax No. (703) 308-2742 on August 4, 2000.

\_\_\_\_\_  
Karen Lee Orzechowski

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, DC 20231, on August 4, 2000.

\_\_\_\_\_  
Karen Lee Orzechowski

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A CATALYST FOR THE (CO)POLYMERISATION OF ETHYLENE AND  
A METHOD FOR THE PREPARATION THEREOF

## FIELD OF INVENTION

5 The present invention relates to a dual site catalyst comprising a chromium oxide catalyst with a transition metal compound and a catalyst activator, and a method for the preparation of this catalyst. The catalyst is particularly suitable for producing polyethylenes, homopolymers and copolymers, having a bimodal or  
10 broad molecular weight distribution.

## PRIOR ART

Linear polyethylenes, such as high density polyethylene (HDPE) and linear low density polyethylene (LLDPE), can be endowed with  
15 specific properties through a suitable choice of polymerisation conditions and polymerisation catalysts, of which chromium oxide and metallocene catalysts are among the commercially most important. Chromium oxide catalysts are characterized in that their active sites have different ratios between propagation and  
20 chain transfer. Moreover, they tend to produce short polymer chains and incorporate comonomer units at a high frequency. As a result, the obtained polymer will have possible comonomers and side chains unevenly distributed between the macromolecules. Consequently, the produced polymers will have a broad molecular  
25 weight distribution, and these polymers will have good processability properties. The metallocene catalysts, often called single site catalysts (SSC) because all their active sites are equal, produces polymers having a narrow molecular weight distribution and an even distribution of possible comonomers and  
30 side chains along the polymer backbone. These polymers have desired properties like high impact strength, good transparency, and high hot tack. The main disadvantage is their poor processability due to the narrow molecular weight distribution. A combination of the properties of chromium oxide and metallocene  
35 polymers would be highly desirable for many end uses, in particular will polyethylenes having a controlled broad or bimodal molecular weight distribution be advantageous in polymer processing by extrusion. Such polyethylenes have traditionally been produced by consecutive polymerisations at different conditions

in two reactors in a series. Another approach has been to perform the polymerisations in the presence of a modified catalyst, including the use of combinations of different catalysts. However, when combining different catalysts, such as chromium and metallocene containing catalysts, they have a tendency to inactivate each other.

US 3,378,536 discloses a process for the polymerisation of ethylene by the use of a two-component catalyst system consisting of (a) chromium deposited on e.g. silica, where the chromium is activated in an oxygen-containing gas at a high temperature, and then reduced with CO; and (b) chromium or vanadium arene where the arene is an aromatic, optionally substituted, C<sub>6</sub> ring. The two catalyst components are preferably fed separately to the polymerisation reactor.

EP 088 562 discloses a modified polymerisation catalyst comprising a silica support with deposited chromium. Following oxidation in dry air, the chromium is modified by being contacted with a transition metal compound containing a  $\pi$ -bonded ligand. The transition metal is Ti, V or Cr, preferably Ti. Preferably, the ligand is an unsaturated carbocyclic or heterocyclic ring system containing 6 delocalized  $\pi$ -electrons, for example cyclopentadienyl. The disclosed catalyst is not particulate. Only the use of bis-toluene titanium is exemplified, and the obtained polyethylenes have a substantial degree of branching and a medium to broad molecular weight distribution.

US 5330950 and US 5408015 relate to ethylene polymers having broad molecular weight distributions, obtained by the use of a catalytic mixture including a MgO-supported Ziegler catalyst and a chromium oxide catalyst.

US 5399622 discloses a process for the polymerisation of ethylene. The polymerisation is started with a chromium oxide catalyst to obtain granules of low density polyethylene. The polymerisation is continued by adding a cocatalyst and an yttrium-containing catalyst of formula  $(Cp_2YX_x)_yM_zL_n$ , wherein Cp is an optionally substituted cyclopentadienyl, X is a halide, M is an alkali metal

and L is an electron donor ligand, to obtain an outer shell of high density polyethylene on said granules. The disclosed catalyst is not particulate.

5 EP 339571 discloses a process for producing polyethylenes having a broad molecular weight distribution by the use of a catalyst system consisting of: a catalyst component (A) comprising a silica support onto which there is deposited a titanium or chromium compound; a catalyst component (B) comprising a transition  
10 metal compound with a ligand having conjugated  $n$ -electrons e.g.  $\text{Cp}_2\text{ZrCl}_2$ ; and a catalyst component (C) which is an aluminoxan, e.g. MAO. The MAO and the metallocene are not impregnated into the catalyst support and therefore the catalyst will hardly be a true dual site catalyst. It would be expected that the chromium  
15 oxide catalyst makes one type of particle and the metallocene another type of particle, optionally partly attached to each other, but anyhow making a very inhomogeneous powder. The two types of catalyst sites or precursor sites are not directly exposed to each other. There is no mention of any prereduction  
20 of  $\text{Cr}^{6+}$  to  $\text{Cr}^{2+}$ . The polymerisations will result in polymers having an undesirable amount of low molecular weight polymers.

EP-A-0 287 666 discloses a process for the polymerisation of olefins in the presence of a catalyst composed of (A) a metallocene compound, e.g.  $\text{Cp}_2\text{ZrCl}_2$ , optionally supported on silica,  
25 (B) an aluminoxane, and (C) an organoaluminium compound, e.g. triisobutyl aluminium. The obtained polymers have a narrow molecular weight distribution.

30 WO 96/14154 discloses a dual site catalyst comprising a calcined silica support impregnated with dibutylmagnesium, 1-butanol and  $\text{TiCl}_4$ , and also impregnated with MAO and  $(\text{BuCp})_2\text{ZrCl}_2$ . This catalyst, which contains both a non-metallocene and a metallocene transition metal component, is used in the polymerisation of  
35 ethylene in one single reactor to obtain polyethylenes having a bimodal molecular weight distribution.

The object of the present invention is to provide a dual site catalyst that may be used in a single polymerisation reactor to



obtain ethylene polymers having a controlled bimodal or broad molecular weight distribution. It has now surprisingly been found a versatile dual site catalyst that combines the features of both prior art chromium oxide and metallocene catalysts. A remarkable  
5 advantage is that this catalyst produces chromium and SSC polyethylenes simultaneously in one and the same polymer particle. The polymer particles as polymerised will when processed give a homogeneous polymer melt. With this novel dual site catalyst polyethylene resins for a broad application area can be produced.  
10 The resins have particularly good extrusion properties and are especially well suited for processing by film blowing and blow moulding.

#### SUMMARY OF THE INVENTION

15 The present invention thus provides a particulate, modified chromium oxide catalyst for the polymerisation of ethylene or ethylene with  $\alpha$ -olefins, comprising:

- a) a chromium-oxide catalyst comprising a chromium oxide combined with an inorganic support,
- 20 b) a transition metal compound comprising at least one cyclopentadienylic ring bonded to said transition metal, which cyclopentadienylic ring may contain hetero atoms, be unsubstituted or substituted, bonded to the transition metal through a bridge, optionally annealed to other ring structures, and if two  
25 cyclopentadienyl rings are present they may be bonded to each other through a bridge, and
- c) a catalyst activator.

A method for the preparation of a catalyst for the polymerisation of ethylene, or ethylene with  $\alpha$ -olefins, comprises the steps of:

- 30 a) subjecting a chromium oxide catalyst precursor, comprising a chromium oxide combined with an inorganic support, to oxidising conditions to obtain the chromium in an oxidised state, and
- b) impregnating the obtained chromium catalyst with a  
35 catalyst activator and with a transition metal compound comprising at least one cyclopentadienylic ring bonded to the transition metal and at least one ligand selected from the group comprising alkoxy, amido and hydrocarbyl radicals, a halogen and a hydride, bonded to the transition metal, which cyclopenta-

dienylic ring may contain hetero atoms, be unsubstituted or substituted, be bonded to the transition metal through a bridge, and optionally annealed to other ring structures, and if two cyclopentadienyl rings are present they may be bonded to each other through a bridge, and

c) subjecting the thus obtained particulate catalyst to drying conditions.

In a preferred embodiment of the present invention the oxidised catalyst obtained in step a) above further is subjected to reducing conditions to obtain a major part of the chromium in a divalent oxidation state before being subjected to the impregnation step b).

The catalyst defined above is used in the homopolymerisation of ethylene or copolymerisation of ethylene with  $\alpha$ -olefins.

#### BRIEF DESCRIPTION OF DRAWINGS

Figure 1 is a drawing showing molecular weight distribution graphs for polyethylenes produced with the catalyst of the invention (I), and common metallocene catalysts (II) and chromium oxide catalysts (III).

#### DETAILED DESCRIPTION OF THE INVENTION

A catalyst precursor of the present invention comprises a support to which there is joined a chromium oxide and a metallocene. Suitable catalyst supports are particulate inorganic, oxygen-containing compounds, preferably selected from the group comprising silica, alumina and silica/alumina, more preferably silica-based supports containing more than 85% by weight of silica.

To prepare the catalyst precursor an appropriate amount of a chromium compound is joined to the surface of the support. Minor amounts of titanium and/or aluminium compounds may also be incorporated, either together with the chromium compound, or separately. The chromium compound used may be any appropriate chromium salt, or an inorganic or organic chromium compound that can be oxidised to a chromium oxide. The amount of chromium com-

pound mixed with the inorganic support must be sufficient to finally obtain between 0.001 % and 10 %, preferably from 0.1 % to 2.0 %, by weight of chromium, calculated as metallic chromium, based on the weight of the inorganic support. The chromium compound may be joined to the surface of the support in various ways. A preferred method is coprecipitation of the chromium compound with the inorganic oxygen-containing compound and then forming the precipitate into catalyst particles which consequently will include the two components.

Convenient methods are well known in the art and are disclosed in a number of publications, also in the patents cited hereinbefore. When the impregnation is finished any possible remaining solvent is removed to obtain a dry solid.

Such solid chromium oxide/support catalyst precursors are commercially available from a number of producers. A closer description of their preparation is therefore regarded as being superfluous. Useful catalyst precursors have average particle sizes preferably in the range from about 10  $\mu\text{m}$  to greater than 150  $\mu\text{m}$ , more preferred from 20  $\mu\text{m}$  to 120  $\mu\text{m}$ , and a particle size distribution from narrow to broad. The particles may be of a spherical shape obtained by the spray drying of a suspension, or having an irregular geometry obtained by comminuting a solid substance. The final catalyst component particles should have a surface area from 150 to 600  $\text{m}^2/\text{g}$ , more preferred from 400 to 550  $\text{m}^2/\text{g}$ , and a pore volume from 1 to 3  $\text{cm}^3/\text{g}$ .

The obtained catalyst precursor has to be activated before use.

This is done by calcination in dry air or another oxygen-containing gas at temperatures in the range from 400 to 950  $^{\circ}\text{C}$ , preferably from 550 to 900  $^{\circ}\text{C}$ , during a period from 10 minutes to 72 hours, preferably from 2 to 20 hours. The oxidised catalyst precursor may be subjected to reduction, preferably with carbon monoxide or a mixture of carbon monoxide and an inert component, such as nitrogen or argon. The reduction is normally performed at a temperature within the range from 300 to 500  $^{\circ}\text{C}$  during a period from 5 minutes to 48 hours, preferably from 1 to 10 hours. When the reduction treatment is finished, the major part of the

contained chromium should preferably be in a divalent oxidation state. Such a reduction step is not obligatory. Unreduced chromium oxide will eventually be reduced by the olefin monomers during polymerisation. The only drawback is that there will be  
5 observed an induction period before the chromium oxide catalyst sites start to produce polyethylenes. When the chromium oxide/support catalyst component is in a reduced state, either as a dry powder or as a suspension in a dry oxygen-free hydrocarbon solvent, e.g. an alkane, it must be stored under inert conditions.

10 The present invention is not restricted to any particular procedure for the preparation of the chromium oxide/support catalyst. However, certain polymer properties will vary depending on the particular conditions applied during the preparation of the  
15 chromium catalyst.

The obtained chromium oxide/support catalyst is then impregnated with a transition metal compound comprising at least one cyclopentadienylic ring bonded to the transition metal. This cyclopentadienylic ring may contain hetero atoms, be unsubstituted or  
20 substituted. The cyclopentadienylic ring may be bonded directly to the transition metal or through a bridge. Also, it may optionally be annealed to other ring structures which themselves may be substituted. If two cyclopentadienyl rings are present they  
25 may be bonded to each other through a bridge. Such a transition metal compound is often generally termed "metallocene". Among the many different metallocenes that may be useful in the present invention, particularly preferred compounds are such in which the transition metal is selected from titanium, zirconium and haf-  
30 nium. Also bonded to the transition metal will be at least one ligand, preferably selected from the group comprising alkoxy, amido and hydrocarbyl radicals, halogen and hydride. During the preparation of the final catalyst of the present invention it is believed that this ligand, partly or completely, will leave the  
35 catalyst upon the action of the catalyst activator and/or monomers.

Suitable metallocene compounds of the composition above are known in the art and have been recited in earlier patent publications

(see for example WO 96/14154 mentioned above). Preferred metallocene compounds are bis-(alkylcyclopentadienyl)-transition metal halides, preferably bis-(n-butylcyclopentadienyl)-zirconium dichloride or bis-(n-butylcyclopentadienyl)-hafnium dichloride.

5 Metallocene catalysts are often designated "single site catalyst", abbreviated SSC. A procedure for the preparation of supported metallocene catalysts is disclosed in Applicant's earlier Norwegian patent application no. NO 960898, the description of which is included herein by reference. The obtained  
10 catalyst particles of the present invention will produce polymer particles having a good morphology.

The catalyst must also comprise an activator component, such as an aluminoxan or an ionic activator, preferably an aluminoxan,  
15 and most preferably methylaluminoxane (MAO). The aluminoxan may be deposited onto the activated chromium oxide/support catalyst before, simultaneously with or after the deposition of the metallocene compound. Most conveniently both aluminoxan and metallocene are dissolved in a solvent, e.g. toluene, and the  
20 combined toluene solution is used to impregnate the chromium oxide/support catalyst. Eventually the solvent is removed by evaporation, preferably in an inert atmosphere, whereupon the catalyst is ready for use. The final catalyst will contain both chromium, zirconium or hafnium, and aluminium. In an embodiment  
25 of the present catalyst, it contains from 0.2 % to 5 %, preferably from 0.4 % to 1 %, by weight of chromium; from 0.05 % to 0.6 %, preferably about 0.2 %, by weight of zirconium; and from 5 % to 20 %, preferably about 5 %, by weight of aluminium; calculated as metal based on the total weight of the catalyst.

30

The catalyst of the present invention shows a high activity in polymerisations. This is surprising because the different catalytic sites are in intimate contact with each other and this is believed to result in a mutual inactivation. Moreover, at least  
35 the chromium oxide sites would be expected to be inactivated by a covering layer of MAO. However, experiments reveal that this catalyst will produce, simultaneously, both chromium oxide and metallocene polyethylenes.

The present catalyst can be used to polymerise ethylene alone, or ethylene with up to 20% by weight of a comonomer or a mixture of different comonomers. As comonomers may be used all polymerizable  $\alpha$ -olefins of the general formula  $\text{CH}_2=\text{CHR}$ , wherein R is a hydrocarbon radical containing 1-18 carbon atoms, preferably 1-10 carbon atoms. Examples of particularly preferred  $\alpha$ -olefins are propylene, 1-butene, 1-hexene, 4-methyl-1-pentene and 1-octene. Also styrene and norbornene may be used as comonomers.

Polymerisations can be performed in any conventional type of reactor, such as in a batch reactor or most preferably in a continuous reactor. The present catalysts are suited for use in all types of olefin polymerisations, in particular gas phase and suspension polymerisations.

15

As used herein, the term "polyethylene" refers to both ethylene homopolymers and ethylene copolymers. The polyethylenes obtained by the process of the present invention will have a broad or bimodal molecular weight distribution (MWD), i.e. there will be a more or less distinct tail at the low and/or high molecular weight parts of the MWD graph. In figure 1, graph I represents a typical molecular weight distribution for polyethylenes obtained by the use of the catalysts of the invention. The unique feature of the present catalysts is that they contain active chromium sites and active metallocene sites on the same catalyst particle. The chromium sites on the catalyst will behave like any Cr/SiO<sub>2</sub> catalyst and produce polyethylenes having a high average molecular weight and a broad MWD. A typical MWD curve representing chromium-catalysed polyethylene is shown in Fig. 1 (graph III). Analogously, the metallocene sites on the catalyst will act independently of the Cr sites and produce polyethylenes having a lower average molecular weight and a narrow MWD (represented by graph II in Fig. 1). The present catalysts combine both these behaviours in one and the same catalyst particle. Thus, each polymer particle contains both chromium and metallocene based polymers, and the final polymer resin will have a superior morphology compared with polymer resins produced from one site catalysts in solution. The final polymer will therefore produce a homogeneous melt when processed.

The polyethylenes produced with the catalysts of the invention may have a number average molecular weight of the same magnitude as the metallocene-based polyethylenes, and a MWD of the same magnitude as the chromium-based polyethylenes. Prior to polymerisation, the catalyst may optionally be prepolymerized with a minor amount of ethylene in accordance with methods well known in the art, before being fed continuously to the polymerisation reactor. In general, polymerisations are performed at temperatures below about 110 °C, and at a total pressure in the range of up to 70 bar. Hydrogen is used to control the molecular weight and melt index of the polymer, while comonomers are used to control the branching on the polyethylene backbone and hence the density of the polyethylene. The polymerisation parameters mentioned above are well known in the art and further details concerning polymerisations should be superfluous. Typical polymerisation conditions are presented in the examples below.

The produced polyethylenes will have a density from 910 to >960 kg/m<sup>3</sup>, and a melt index (MFR 2) from 0.01 to above 100 g/10 min, preferably from 0.1 to 60 g/10 min (determined according to the method of ASTM 1238), depending on the polymerisation conditions, as explained above. More detailed specifications concerning the properties of the obtained polyethylenes are given in the examples.

The present invention shall now be explained in more details by the following examples.

## EXAMPLES

### Preparation of catalysts

#### Cr/SiO<sub>2</sub> catalyst precursor

In the following examples three different commercially available Cr/SiO<sub>2</sub> catalyst precursors were used, denominated A, B and C. For comparison a support comprising silica only, denominated D, is also included. Relevant physical and chemical properties are listed in Table 1. Such catalyst precursors are obtainable from various companies, for instance from companies Grace, Crosfield and PQ, and they represent the broadness of those Cr/SiO<sub>2</sub> catalyst precursors actually used today.

The calcination/activation of the catalyst precursors were carried out under fluidized bed conditions at the temperatures indicated in Table 1. Procedures well known in the art were followed. A 10 g sample of each catalyst precursor was activated/calced in dry air for 5 hours to oxidise Cr to hexavalent Cr ( $\text{CrO}_3$ ) and reduce the concentration of surface hydroxyl. Optionally, this oxidation step was followed by reduction for 3 hours with carbon monoxide (CO) in nitrogen ( $\text{N}_2$ ) to reduce the oxidation state of the chromium.

TABLE 1  
Cr/SiO<sub>2</sub> catalyst precursors

	A	B	C	D
Chromium (wt%)	0,5	1,0	1,0	0
Aluminium (wt%)	0,8	0	<0,3	0
Titanium (wt%)	0	2,6	2,5	0
Pore volume (ml/g)	2,5	1,0	2,5	
Surface area (m <sup>2</sup> /g)	400	490	450	
Activation temp. in air/CO (°C)	580/380 680/380	580/-	815/- 815/380 620/380	600/-

#### Impregnation with a metallocene compound and MAO

All calcined components listed in Table 1 were transferred to a glove box and in a dry, oxygen-free nitrogen atmosphere impregnated with bis(n-butylcyclopentadienyl) zirconium dichloride and with methyl aluminoxane, according to the following procedure:

- 1) bis(n-butylcyclopentadienyl)zirconium dichloride is weighed into a Thomas flask equipped with a magnet bar stirrer;
- 2) 30% by weight of methyl aluminoxane (MAO) in toluene is added to the transition metal salt;
- 3) an additional amount of toluene is added and the content of the flask stirred for 30 minutes;



- 4) each catalyst component indicated in Table 1 is weighed into another Thomas flask equipped with a lid and a magnet stirrer; and
  - 5) the catalyst component is stirred while the solution from step (3) is added dropwise by means of a syringe during a period from 5 to 10 minutes, thus obtaining a free flowing, not saturated powder, free from any lumps,
  - 6) the stirring of the impregnated powder is continued for another 30 minutes, and subsequently
  - 7) the lid on the flask is punctured with two cannula and a nitrogen purge established through the space inside the flask for about 30 minutes, and
  - 8) the dried catalyst is stored under nitrogen until use.
- 15 Experimental parameters are given in Table 2.

TABLE 2  
Catalysts

Catalyst	Chromium catalyst (g)	Zr compound (g)	MAO (ml)	Toluene (ml)
A	3,00	0,033	3,57	3,63
B	2,00	0,022	2,38	0
C	3,00	0,033	3,57	3,93
D	3,40	0,037	3,90	1,20

#### General polymerisation procedures

- 30 A laboratory stainless steel batch reactor equipped with a paddle stirrer was heated to 80 °C to 100 °C and purged with nitrogen, before a specific amount of catalyst was introduced, then iso-butan was added and the stirring started. The temperature was adjusted to the desired temperature and ethylene fed to the reactor (with or without hydrogen) until a predetermined pressure was reached. Ethylene was then polymerised. The overall pressure was kept constant during the entire polymerisation run by feeding ethylene. The ethylene consumption was monitored during the polymerisation. When hexene-1 was used as a comonomer, this was fed

to the reactor together with the isobutane. Optionally, also minor amounts of hydrogen were added continuously to the reactor blended into the ethylene feed. All reagents used was of "polymerisation grade".

5

Hexene-1, when used, was fed to the reactor by the use of a pump working in cascade with the ethylene feeding system. The reactor temperature was kept constant at a fixed temperature to an accuracy of  $\pm 0,2$  °C by automatically adjusting heating and/or cooling of the reactor. The polymerisation run was stopped when a desired polymer yield had been reached, or after a preset polymerisation time. The obtained polymer was weighed and samples collected for further treatment and measurements. One major sample was mixed with an additive-containing masterbatch to stabilise the polymer prior to further treatments.

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Reactor conditions for the polymerisations are presented in Tables 3 to 7.

#### 20 Polymer characterisation methods

The properties of the obtained polymers were determined by the use of the following characterisation methods:

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**Melt index**, MFR, were determined according to the method of ASTM D 1238 at 190 °C and loads of 2.16 kg and 21.6 kg. The ratio between the melt index obtain with 21.6 kg and 2.16 kg loads is reported as FRR.

30

**Rheology** The relation between the molecular weight ( $M_w$ ) determined by gel permeation chromatography (GPC) and zero viscosity ( $\eta_0$ ) determined by dynamic measurements is expressed by the well known equation:

$$\eta_0 = K \cdot (M_w)^\alpha$$

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where K is a proportional constant and  $\alpha > 1$ . Because zero viscosity often is difficult to calculate  $\eta(0.05)$  is used instead. Thus, a high  $\eta(0.05)$  value means high molecular weight. Polymers with a broad molecular weight distribution (MWD) have a more pronounced shear thinning compared to polymers with a narrow MWD. Thus, a polymer with broad MWD will have a low  $\eta(300)$  value even if it has a high  $\eta(0.05)$  value.

**Polydispersity Index, PI**, given by the expression:  $PI = 10^5/x$ , wherein  $x$  is the value on the abscissa at the point of intersection between  $G'$  and  $G''$ . A modulus of rigidity,  $G^*$ , is defined by the expression:  $G^* = G' + iG''$ , where the real part, the storage modulus  $G'$ , expresses the elasticity of the polymer while the imaginary part, the loss modulus  $G''$ , expresses the viscous part of the polymer. A more elastic polymer then gives higher  $G$  values than a less elastic polymer. A plot of  $G'/\text{freq}$  versus  $G''/\text{freq}$  gives information about the elasticity of polymers. When the  $G'$  curve has a steeper slope, the point of intersection will take place at a smaller  $x$  value and PI will increase, i.e. more elastic polymers (i.e. polymers having a broader MWD) will give higher PI values.

**Gel Permeation Chromatography, GPC**, was used to separate molecules according to their sizes and to calculate  $M_w$ ,  $M_n$  and MWD. It was also used to estimate the fraction of polymer made from each type of catalytic site.

**Infrared Analysis IR**, in the range  $1250$  to  $890\text{ cm}^{-1}$  was used to detect end groups and the comonomer contents in the polymers. Polyethylenes produced with chromium catalysts will have unsaturation mainly as vinyl end groups, while metallocene catalysts produces mainly trans-vinylene end groups, and thus the fraction of polyethylenes produced from each catalyst can be quantified.

#### Examples 1 and 2

In an 8 l stainless steel reactor ethylene with 0.2% by weight of hexene was polymerised at  $80\text{ }^\circ\text{C}$  (example 1) and at  $94\text{ }^\circ\text{C}$  (example 2) in the presence of catalyst A specified above, according to the general polymerisation procedure described above. Experimental parameters and polymerisation results are presented in Table 3. They reveal that the polymerisation temperature had little influence on the activity of the catalyst.

#### Example 3

The polymerisation procedure of Example 1 was repeated, except that the chromium on the catalyst was in an oxidised state (not reduced). Experimental parameters and polymerisation results are presented in Table 3. The polymerisation activity was low.

Example 4 (Comparative)

The catalyst used was a chromium oxide catalyst not impregnated with metallocene and aluminoxan. The procedure of Example 2 was repeated. Experimental parameters and polymerisation results are presented in Table 3. The activity of the catalyst was low.

Example 5 (Comparative)

The polymerisation procedure of Example 1 was repeated, except that the catalyst used was a conventional metallocene catalyst (SSC). Experimental parameters and polymerisation results are presented in Table 3. The activity of the catalyst was low.

Example 6 (Comparative)

The polymerisation procedure of Example 2 was repeated with a catalyst not containing metallocene. The catalyst had the same specifications as the catalyst of examples 1 and 2, except that the chromium oxide/silica catalyst had not been impregnated with metallocene, only with MAO. The activity of the catalyst was very low.

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The catalyst of the present invention used in examples 1 and 2 had a significant higher activity than the common metallocene (single site) catalyst of comparative example 5, and the common chromium catalyst of comparative example 4. The catalyst of comparative example 6, which was not impregnated with metallocene, had a very low activity. The dual site catalysts of the present invention seem to contain an increased amount of active polymerisation sites in comparison with the other catalysts. The activity of the catalyst of the present invention is higher than the combined activities of the catalysts used in comparative examples 5 and 6. Consequently, the combined dual site catalyst of the present invention will have a synergistic effect.

The results from the characterisations of the obtained polyethylenes presented in Table 3 show that the polymers obtained with the catalyst of the present invention (examples 1 and 2) have properties laying between those of polyethylenes obtained with a normal chromium catalyst (comparative example 4) and a normal metallocene catalyst (comparative example 5). This supports the

assumption that both the metallocene and chromium sites on the catalysts of the present invention are active during the polymerisation. Also the molecular weights confirm this. The polyethylenes obtained with the dual site catalyst have an increased  
5 Mw and MWD compared with the metallocene based catalysts (comparative example 5) and reduced Mw and MWD compared with the chromium based catalyst (comparative example 4).

IR analyses of films made from the obtained polymers detect the  
10 fraction of polymers polymerised from the metallocene sites on the catalysts. The results presented in Table 3 supports that both the metallocene and chromium sites are active during polymerisations. It is obvious that the dual site catalysts of the present invention produces two different polyethylenes simul-  
15 taneously.

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TABLE 3  
Polymerisations

Examples	1	2	3	Comp. 4	Comp. 5	Comp. 6
Catalyst No.	A	A	A <sup>2)</sup>	CrO <sub>x</sub> <sup>3)</sup>	SSC	Cr/MAO <sup>4)</sup>
Cr/SiO <sub>2</sub> activation						
Oxidation temp. (°C):	680	680	680	680	600(1)	680
Reduction temp. (°C):	380	380	not red.	not red.	not red.	380
Polymerisation						
Reactor temp. (°C)	80	94	80	94	80	94
Total pressure (baro)	26,0	30,5	26,0	30,5	26,0	30,5
Cat. weight (g):	0,649	0,653	0,888	0,412	0,798	0,892
Induction time (min):	0	0	1	5	0	1,5
Run time (min):	72	78	96	173	78	173
Polymer yield (g):	1820	1850	980	1265	1630	1010
Productivity (g/g):	2810	2830	1000	3070	2040	1130
Activity (g/g·hr):	2370	2280	680	1200	1540	400

(1) = Silica support calcination

(2) = Chromium oxide not reduced

(3) = CrO<sub>x</sub> only

(4) = No impregnation with metallocene

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TABLE 3 (cont.)  
Characterisation of polyethylenes

Ex. No.	1	2	3	Comp. 4	Comp. 5	Comp. 6
MFR2	0.43	0.60	0.12	0.03	0.96	<0.01
MFR21	10.2	15	5.5	2.8	16.5	1.4
FRR	17.2	25	46	90	17.2	>140
Density	947	948	947	953	946	947
G'(0,05)	404	305	2140	5829	11	11320
$\eta(0,05)$	17563	13801	66701	182780	7696	323525
$\eta(300)$	1353	1168	1431	1323	1412	1533
PI	0.5	0.6	0.8	4.5	0.4	7.7
M <sub>w</sub>	160,000	140,000	200,000	nd	135,000	560 000
M <sub>n</sub>	45,000	44,000	41,000	nd	53,000	12,500
MWD	3.6	3.2	4.9	nd	2.5	42
%SSC polymer	82	90	70	0	100	0

nd = not determined

Examples 7 to 10

Polymerisations of ethylene in the presence of catalyst A were performed in a 2 l stainless steel reactor with 1 l isobutane as the reaction medium containing 0.16 % or 2.92 % by weight of hexene as comonomer. The ethylene feed contained 0, 1270 or 7000 ppm(mole) of hydrogen. The polymerisations were allowed to proceed for 60 min and were then terminated. The experimental parameters and polymerisation results are specified in Table 4.

Examples 11 and 12 (Comparative)

The polymerisation procedure of Examples 7 to 10 was followed. The catalyst used was a common metallocene catalyst. Experimental parameters and polymerisation results are presented in Table 4.

Table 5 shows that a polymerisation temperature of 94 °C compared with 85 °C gives polyethylenes with a higher Mw, a broader MWD and a distinct tail on the MWD curve. The reason is that chromium sites will have increasing activities as the temperature increases.



TABLE 4

Examples	7	8	9	10	Comp. 11	Comp. 12
Catalyst Ref. No.	A	A	A	A	SSC	SSC
Oxidation temp. (°C):	680	680	680	680	600	600
Reduction temp. (°C):	380	380	380	380	NA	NA
C6 in iC4 (wt%):	0.16	2.92	2.92	2.92	0.16	2.92
H2 in C2 (mol ppm):	0	1270	7000	0	1270	7000
Reactor temp. (°C)	94	85	94	94	94	94
Total pressure (baro)	30.5	22,0	25.0	25.0	30.5	25.0
Cat. weight (g):	0.094	0.130	0.142	0.104	0.093	0.099
Productivity (g/g):	1800	1540	1110	1590	3060	2830
Activity to P4000 (g/g·hr):	2000	1670	1270	1850	3220	3110

NA = not applicable

TABLE 4 (cont.)  
Characterisations of polyethylenes

Examples	7	8	9	10	Comp. 11	Comp. 12
MFR2 (g/10 min):	1.3	25	>80	1.8	16.8	>100
MFR21 (g/10 min):	24			35		
Density (g/dm <sup>3</sup> )	946	930		930	956	932
FRR 21/2	18.5			19.4		
G'0,05	30	0.5	570	4		
$\eta$ 0,05	6080	330	25500	500		
$\eta$ 300	1250	210	1140	270		
PI	0.41	nd	0.72	nd		
Mw	135000	55000	30000	115000	60000	10000
Mn	38000	19000	4000	26000	15000	3000
Mw/Mn	3.6	2.9	7.5	4.4	4	3.3
Cr/SSC	5/95	10/90	nd	10/90		

nd = not determined

MFR determined with powder

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### Examples 13 and 14

The catalyst B specified in Tables 1 and 2 calcined at 585 °C and then reduced at 380 °C before being impregnated with the metallocene catalyst and aluminoxan, as described above, was used in the polymerisations of ethylene in a 2 l stainless steel autoclave by following the general polymerisation procedure described above. The reaction medium was 1 l isobutane which contained hexene-1 as comonomer in amounts of 0.16 or 2.92 % by weight, respectively, while the ethylene contained 270 ppm (moles) of H<sub>2</sub>. The polymerisations were terminated after 60 minutes. Experimental parameters and polymerisation results are presented in Table 5.

### Example 15 (Comparative)

The polymerisation procedure of Example 13 was followed, except that the catalyst used was a single site metallocene catalyst on a silica support. Experimental parameters and polymerisation results are presented in Table 5.

Catalyst B is a Cr/SiO<sub>2</sub> catalyst having a low pore volume, which is often used for the production of polyethylenes of high Mw. Examples 13 and 14 show that dual site catalysts also can be obtained with low pore catalyst precursors and give catalysts of acceptable activity. The melt index of the final polyethylene was controlled by the use of hydrogen, and the density by the use of hexene-1. Even if the obtained MWD is narrow, the MWD curve has a tail indicating a bimodal MWD. The influence of the chromium sites is less pronounced here than in the previously reported catalyst A.

TABLE 5

Examples	13	14	15 Comp.
Catalyst Ref. No.	B	B	SSC
Oxidation temp. (°C):	585	585	600
Reduction temp. (°C):	380	380	-
C6 in iC4 (wt%):	0,16	2,92	0,16
H2 in C2 (mol ppm):	1270	1270	1270
Reactor temp. (°C)	85	94	94
Total pressure (bar)	27,5	25,0	30,5
C2 pressure (bar)	11,7	6,2	11,7
Cat. weight (g):	0,139	0,094	0,093
Productivity (g/g):	1550	1810	3060
Activity to P4000 (g/g·hr):	1530	2000	3220
MFR2 (g/10 min):	22	22,8	16,8
MFR21 (g/10 min):	nd	nd	nd
Density (g/dm <sup>3</sup> )	953	933	956
Rheology:			
G'(0,05)	2,9	4,1	4,0
$\eta(0,05)$	570	660	500
$\eta(300)$	240	250	270
Two-Phase struc.	Yes	Yes	No
Mw	70000	-	60000
Mn	15000	-	15000
Mw/Mn	4,7	-	4,0
Cr/SSC	10/90	10/90	

nd = not determined

Examples 16 to 19

The catalyst C specified in Tables 1 and 2 calcined at 815 °C and then reduced at 380 °C (examples 16 to 18), and not reduced (example 19), before being impregnated with the single site metallocene catalyst and aluminoxan, as explained in detail above, was used in the polymerisation of ethylene at polymerisation conditions given in Table 6, where also the polymerisation results are presented.

Examples 20 and 21

The procedure of Examples 16 to 18 was repeated, except that catalyst C was activated at a lower temperature of 620 °C. Experimental parameters and polymerisation results are presented in Table 6.

Example 22 (Comparative)

The procedure of Example 19 was repeated, except that the catalyst was a normal single site metallocene catalyst. Experimental parameters and polymerisation results are presented in Table 6.

All catalysts used in examples 16 to 21 gave polyethylenes having a high Mw, a broad MWD and two-phase structures. The GPC curves show tails at both low and high Mw. These catalysts C gave polyethylenes with higher molecular weights than the catalysts A. In comparison, by the use of a common metallocene catalyst based on catalyst precursor C (comparative example 22) it was obtained polyethylenes with a more narrow MWD and no tails on the MWD curve. The catalysts of examples 16 to 21 are all dual site catalysts having acceptable activities. These examples show that it is possible to obtain dual site catalysts also without subjecting the chromium to reduction.

TABLE 6

## Polymerisations

Examples	16	17	18	19	20	21	Comp. 22
Catalyst Ref. No.	C	C	C	C	C	C	SSC
Oxidation temp. (°C):	815	815	815	815	620	620	600
Reduction temp. (°C):	380	380	380	not red.	380	380	
C6 in iC4 (wt%):	0.16	2.92	2.92	5.96	2.92	2.92	5.96
H2 in C2 (mol ppm):	0	0	1270	0	1270	0	0
Reactor temp. (°C)	94	85	94	85	85	94	85
Total pressure (baro)	30.5	22.0	25.0	19.5	22.0	25.0	19.5
Cat. weight (g):	0.796	0.146	0.139	0.609	0.135	0.119	0.567
Induction time (min):	1	0	1	1	0	0	0
Run time (min):	56	60	60	56	60	60	42
Polymer yield (g):	1110	178	176	610	224	205	1420
Productivity (g/g):	1400	1220	1270	1000	1620	1720	2600
Activity to P4000 (g/g.hr):	1280	1300	1370	1100	1800	1780	3160

nd = not determined

TABLE 6 (cont.)  
Characterisation of polyethylenes

Examples	16	17	18	19	20	21	Comp. 22
MFR2 (g/10 min):	0.77	1.3	16.4	1.10	21.4	1.50	1.10
MFR21 (g/10 min):	16	30	nd	22	nd	30	17.5
FRR21/2	20.8	23		20		20	15.9
Density:	942	926	933	915	934	925	912
G'0,05	290	31	8	139	5.5	14	16
$\eta$ 0,05	16700	5580	790	11090	530	5260	6720
$\eta$ 300	1200	1150	210	1030	200	1260	1390
PI	0.59	0.44		0.64			0.42
Two Phase Structure	Yes	Yes	Yes	Yes	Yes		
Mw	190000	145000	80000	168000	78000	120000	120000
Mn	20000	20000	10000	12000	16000	27000	40000
Mw/Mn	9.5	7.3	8.0	14	4.9	4.4	3
Cr/SSC	20/80	1/9	1/9	30/70	1/9	1/9	

nd = not determined

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Example 23

In a stainless steel reactor was filled 1.8 l isobutane and 20 ml 1-hexene. The reaction medium was heated to 90 °C and ethylene  
5 fed until a pressure of 5 bar. Catalyst A from Table 1, activated at conditions 680-380 °C, was introduced and the polymerisation started immediately. After 60 minutes the polymerisation reaction was terminated and the produced polyethylene recovered. Experimental parameters and polymerisation results are presented in  
10 Table 7.

Example 24

The procedure of Example 23 was repeated, except that the catalyst used contained hafnium instead of zirconium. Thus, the metallocene impregnated onto the chromium oxide/silica catalyst was  
15 bis(n-butylcyclopentadienyl)hafnium dichloride. The catalyst had the same greenish colour as the catalyst used in Example 23. Experimental parameters and polymerisation results are presented  
20 in Table 7.

Example 25 (Comparative example)

The procedure of Example 23 was repeated, except that the catalyst used had not been impregnated with metallocene. Thus, the  
25 catalyst was a chromium oxide/silica catalyst. Experimental parameters and polymerisation results are presented in Table 7.



TABLE 7

Examples	23	24	25 Comp.
Catalyst Ref. No.	A, Zr	A, Hf	CrO <sub>x</sub>
Oxidation temp. (°C):	680	680	680
Reduction temp. (°C):	380	380	380
C6 in iC4 (wt%):	1.1	1.1	1.1
Cat. weight (g):	0,110	0,202	0,144
Activity (g/g·hr):	1180	250	310
MFR2 (g/10 min):	3.6	nd	0.21
MFR21 (g/10 min):	60	0.78	7.9
G'5kPa (Pa)	575	1410	3380
$\eta(300)$ (Pa·s)	2465	400,000	218,000
Mw	92,300	381,500	203,500
Mw/Mn	2.5	3.4	12.0

nd = not determined

Example 26 (Comparative)

A polymerisation was performed analogously to the polymerisation procedure of EP 339,571 (Showa Denko). Two separate catalysts were used: (a) a particulate Cr/SiO<sub>2</sub> catalyst, and (b) a catalytic solution prepared according to steps (1) through (3) of the procedure for "Impregnation with a metallocene compound and MAO" disclosed on page 11 of the this specification. The general polymerisation procedure described on page 12 above was followed, except that 1.5 bar of H<sub>2</sub> was fed to the reactor at 30 °C, together with the Cr/SiO<sub>2</sub> catalyst, the catalytic solution and isobutane. The temperature inside the reactor was then increased to 80 °C before the ethylene feed was started. The polymerisation was continued for 44 minutes. A layer of low molecular polymers formed on the walls of the reactor and the stirrer. The produced polymer had a density of 956 g/dm<sup>3</sup>, MFR2 of 1.5 and MFR21 of 38 g/10 min. The polyethylene had molecular weights Mw of 130,000, Mn of 1,900 and Mw/Mn of 70. The produced polyethylene had a GPC curve revealing two components each having narrow molecular weight distributions. None of these two components had the broadness of a chromium catalyst polymer.

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C l a i m s

1. A particulate, modified chromium oxide catalyst for  
5 the polymerisation of ethylene or ethylene with  $\alpha$ -  
olefins, comprising:
- a) a chromium-oxide catalyst comprising a  
chromium oxide combined with an inorganic support,
  - b) a transition metal compound comprising at  
10 least one cyclopentadienylic ring bonded to said  
transition metal, which cyclopentadienylic ring may  
contain hetero atoms, be unsubstituted or substituted,  
bonded to the transition metal through a bridge,  
optionally annealed to other substituted or  
15 unsubstituted ring structures, and if two  
cyclopentadienyl rings are present they may be bonded to  
each other through a bridge, and
  - c) an aluminoxane;  
said transition metal compound and said aluminoxane  
20 being impregnated in said chromium oxide catalyst.
2. A catalyst according to claim 1, characterised in  
that said inorganic support contains above 85% by weight  
of silica.
- 25 3. A catalyst according to claim 1 or 2, characterised  
in that the chromium is mainly in a bivalent oxidation  
state.
- 30 4. A catalyst according to claim 1, 2 or 3,  
characterised in that the transition metal is titanium,  
zirconium or hafnium.
5. A catalyst according to claim 1, characterised in  
35 that the aluminoxane is methylaluminoxane.

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6. A catalyst according to any of claims 1 to 5,  
characterised in that the catalyst contains 0.4 to 10%  
by weight of Cr; 0.1% to 0.6% by weight of Zr or Hf; and  
5% to 20% by weight of Al; calculated as metals based on  
5 the total weight of the catalyst.

7. A catalyst according to claim 6, characterised in  
that the catalyst contains 0.4 to 1% by weight of Cr,  
about 0.2% by weight of Zr, and about 5% by weight of  
10 Al.

8. A catalyst of any of the preceding claims,  
characterised in that said catalyst has the shape of  
spherical or spheroidal particles.  
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9. A method for the preparation of a catalyst for the  
polymerisation of ethylene, or ethylene with  $\alpha$ -olefins,  
characterised by comprising the steps of:

a) subjecting a chromium oxide catalyst  
20 precursor, which comprises a chromium oxide compound  
combined with an inorganic support, to a temperature in  
the range of from 400 to 950°C under oxidising  
conditions, and

b) impregnating the obtained chromium catalyst  
25 separately or simultaneously with a catalyst activator  
and with a transition metal compound which comprises at  
least one cyclopentadienylic ring bonded to said  
transition metal and at least one ligand selected from  
the group comprising alkoxy, amido and hydrocarbyl  
30 radicals, halogen and hydride bonded to said transition  
metal, which cyclopentadienylic ring may contain hetero  
atoms, be unsubstituted or substituted, be bonded to  
said transition metal through a bridge, and optionally  
annealed to other substituted or unsubstituted ring  
35 structures, and if two cyclopentadienyl rings are  
present they may be bonded to each other through a  
bridge, and

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c) subjecting the thus obtained particulate catalyst to drying conditions.

10. The method of claim 9, characterised in that said  
5 oxidised catalyst precursor obtained in step a) further  
is subjected to reducing conditions to obtain a major  
part of the chromium in a divalent oxidation state  
before being subjected to step b).

11. A polyethylene produced by the homopolymerisation of ethylene or copolymerisation of ethylene with  $\alpha$ -olefins in the presence of a catalyst according to any of the preceding claims under conditions of continuous feeding of reactants and removal of polymerisate.

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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification</b> <sup>6</sup> : <b>C08F 4/69, 10/02</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 99/40126</b> <b>(43) International Publication Date:</b> 12 August 1999 (12.08.99)
<b>(21) International Application Number:</b> PCT/NO99/00043 <b>(22) International Filing Date:</b> 9 February 1999 (09.02.99) <b>(30) Priority Data:</b> 19980552 9 February 1998 (09.02.98) NO <b>(71) Applicant (for all designated States except US):</b> BOREALIS A/S [DK/DK]; Lyngby Hovedgade 96, DK-2800 Lyngby (DK). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> FOLLESTAD, Arild [NO/NO]; Damstien 10, N-3960 Stathelle (NO). ALMQUIST, Vidar [NO/NO]; Raskenlundveien 49, N-3928 Porsgrunn (NO). PALMQVIST, Ulf [SE/SE]; Västergårdsvägen 19, S-444 40 Stenungsund (SE). HOKKANEN, Harri [FI/FI]; Ketokiventie 3 as. 5, FIN-00710 Helsinki (FI). <b>(74) Agent:</b> TANDBERGS PATENTKONTOR AS; Boks 7085, N-0306 Oslo (NO).	<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	
<b>(54) Title:</b> A CATALYST FOR THE (CO)POLYMERISATION OF ETHYLENE AND A METHOD FOR THE PREPARATION THEREOF		
<b>(57) Abstract</b> <p>A particulate, modified chromium oxide catalyst for the polymerisation of ethylene or ethylene with <math>\alpha</math>-olefins, comprising: a) a chromium-oxide catalyst, b) a transition metal compound, and c) a catalyst activator. A method for the preparation of the catalyst comprises the steps of: a) subjecting a chromium oxide catalyst precursor, which comprises a chromium oxide compound combined with an inorganic support, to a temperature in the range of from 400 to 950 °C under oxidising conditions, and b) impregnating the obtained chromium catalyst with a catalyst activator and with a transition metal compound which comprises at least one cyclopentadienylic ring bonded to said transition metal and at least ligand selected from the group comprising alkoxy, amido and hydrocarbyl radicals, halogen and hybide bonded to said transition metal, which cyclopentadienylic ring may contain hetero atoms, be unsubstituted or substituted, be bonded to said transition metal through a bridge, and optionally annealed to other substituted or unsubstituted ring structures, and if two cyclopentadienyl rings are present they may be bonded to each other through a bridge, and c) subjecting the thus obtained particulate catalyst to drying conditions. This catalyst is suitable for producing polyethylenes under conditions of continuous feeding of all reactants.</p>		

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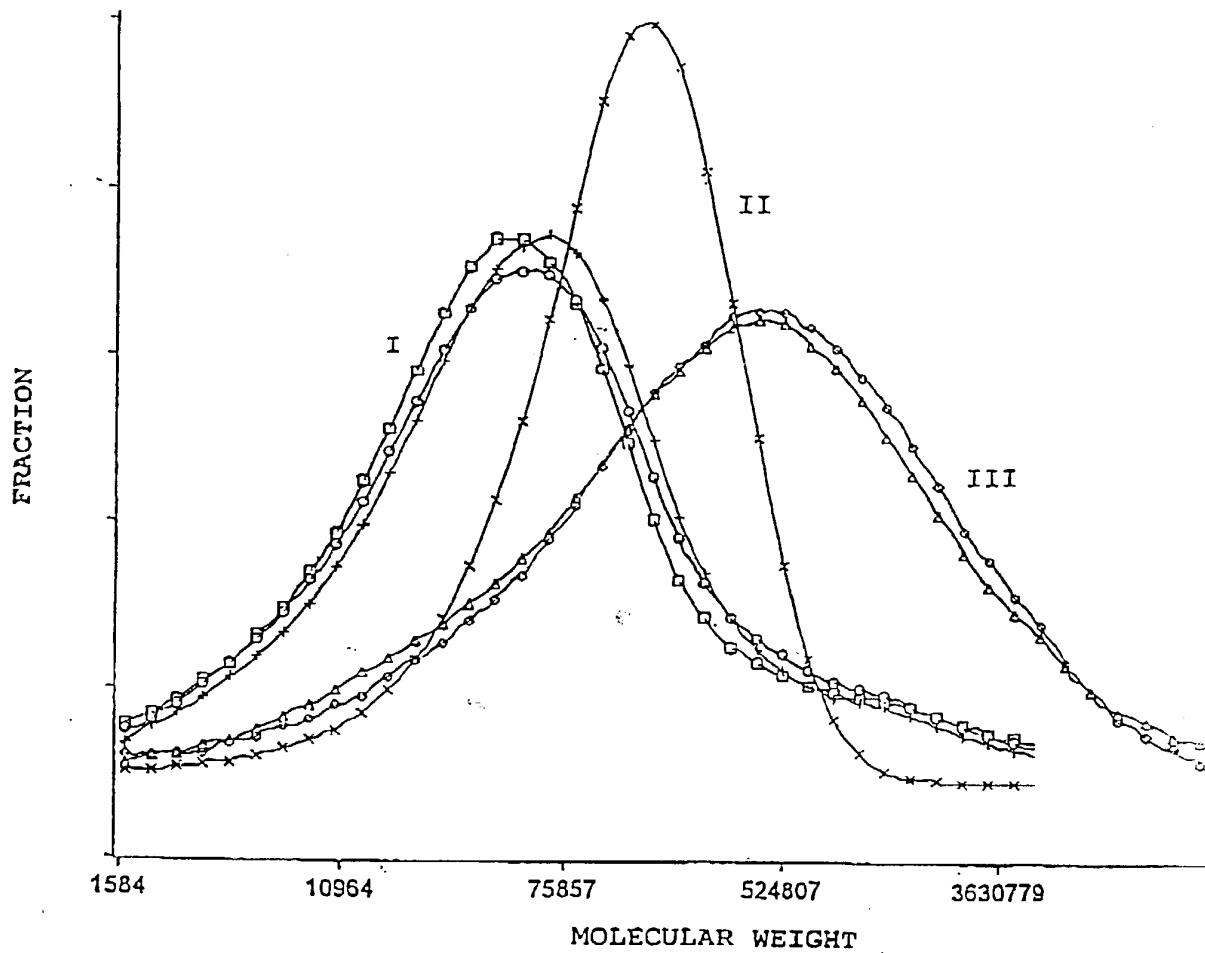


FIG. 1

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Attorney Docket No: \_\_\_\_\_

# DECLARATION FOR PATENT APPLICATION AND APPOINTMENT OF ATTORNEY

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A CATALYST FOR THE (CO)POLYMERISATION OF ETHYLENE AND A METHOD FOR THE PREPARATION THEREOF

the specification of which (check one):

( ) is attached hereto, or ( X ) was filed on: 4 AUGUST 2000 as U.S. Application Serial No.09/601,652  
No.: \_\_\_\_\_ and (if applicable) was amended on: \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in *Title 37, Code of Federal Regulations, § 1.56*. I hereby claim foreign priority benefits under *Title 35, United States Code, § 119* of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)			PRIORITY CLAIMED	
Number	Country	Day/Month/Year Filed	Yes	No
19980552	Norway	9 February 1998	yes	

I hereby claim the benefit under *Title 35, United States Code, § 119(e)* of any U.S. provisional applications listed below:

Application Number	Day/Month/Year filed

I hereby claim the benefit under *Title 35, United States Code, § 120* of any United States application(s) or PCT international application(s) designating The United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of *Title 35, United States Code, § 112*, I acknowledge the duty to disclose information which is material to patentability as defined in *Title 37, Code of Federal Regulations, § 1.56* which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Application Number	Filing date	Status - Patented, Pending or Abandoned
PCT/NO99/00043	9 February 1999	pending

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under *§ 1001 of Title 18 of the United States Code* and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: I(We) hereby appoint as my(our) attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office in connection therewith:

I(we) authorize my(our) attorneys to accept and follow instructions from **Frank B. Dehn & Co.** regarding any matter related to the preparation, examination, grant and maintenance of this application, any continuation, continuation-in-part or divisional based thereon, and any patent resulting therefrom, until I(we) or my(our) assigns withdraw this authorization in writing.

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Page 2

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## CERTIFICATION

I, the undersigned Bengt Lion, Notary Public in Mölndal, Kingdom of Sweden, do hereby certify, that Mrs Märta Palmqvist has personally signed this document and that she also has proved her identity before me.

Mölndal, this the 9th day of November 2000

Ex officio:

*Bengt Lion*  
Bengt Lion

